

*Pre Engagement
Discovery Questionnaire*



Name

Date

Personal and Confidential

Tell Us About Yourself

What about your current circumstance is motivating you to seek advice and counsel?

What is important about getting this right?

What areas of your life would you like to improve in the next five years?

ADDITIONAL CONCERNS OR COMMENTS:

PERSONAL PROFILE

Client 1

Client 2

Name_____

Date of Birth_____

Home Address_____

Home Phone_____

Occupation_____

Employer_____

Business Address_____

Business Phone_____

Client 1

Client 2

If you own a business, indicate type, value and owner:

If you own a business, indicate type, value and owner:

Sole Proprietor____ Partnership____ Corporation____

Sole Proprietor____ Partnership____ Corporation____

Email Address_____

Email Address_____

Cell Phone_____

Cell Phone_____

Date of current marriage_____

Have you been married before? Client 1 - Y N Client 2 - Y N

Dependents: 1. _____ Age ____ 2. _____ Age ____ 3. _____ Age ____ 4. _____ Age ____

Describe health history of past ten years_____

Describe health history of past ten years_____

Do you smoke? ____ Yes ____ No

Do you smoke? ____ Yes ____ No

Please indicate which of the following you have in place: Client 1 - Will____ Dated____ Trust____ Dated____

Client 2 - Will____ Dated____ Trust____ Dated____

FINANCIAL PROFILE

INCOME: Current annual income (including bonuses, commissions, dividends, interest, rental income, small business, etc.)

Client 1 \$ _____

Client 2 \$ _____

Do you expect your annual income to change in the next five years beyond a normal cost of living adjustment?

Client 1
___No ___Yes If yes, by what amount? \$ _____

Client 2
___No ___Yes If yes, by what amount? \$ _____

SAVINGS: Outside your company retirement/savings plan, how much did you save last year after taxes?

\$ _____

Do you expect to save a similar amount each year for the next few years? ___No ___Yes

If No, how much do you expect to save? \$ _____

ASSETS:

	Client 1	Client 2	Joint
Retirement Plans	\$ _____	\$ _____	\$ _____
Savings, CD, MM	\$ _____	\$ _____	\$ _____
Bonds	\$ _____	\$ _____	\$ _____
U.S. Stocks	\$ _____	\$ _____	\$ _____
Mutual Funds	\$ _____	\$ _____	\$ _____
Real Estate			
Residence	\$ _____	\$ _____	\$ _____
Investment	\$ _____	\$ _____	\$ _____
Other Investments	\$ _____	\$ _____	\$ _____

LIABILITIES:

Mortgage			
Residence M.V.	\$ _____	\$ _____	\$ _____
Investment M.V.	\$ _____	\$ _____	\$ _____
Credit Cards	\$ _____	\$ _____	\$ _____
Auto Loans	\$ _____	\$ _____	\$ _____
Personal/Bus. Loan	\$ _____	\$ _____	\$ _____
Other	\$ _____	\$ _____	\$ _____



Sequoyah Planning • 201 Valley Street • Ball Ground, GA 30107
678-454--2818 • fax 678-454-7314 • www.SequoyahPlanning.com

**Investment Advisory services offered through Sequoyah Planning. Securities offered through Resource Horizons Group, L.L.C., Member FINRA, SIPC
1350 Church Street Ext. NE, Third Floor, Marietta, GA 30060, (770) 319-1970
Sequoyah Planning II, L.L.C and Resource Horizons Group, L.L.C are not affiliated**